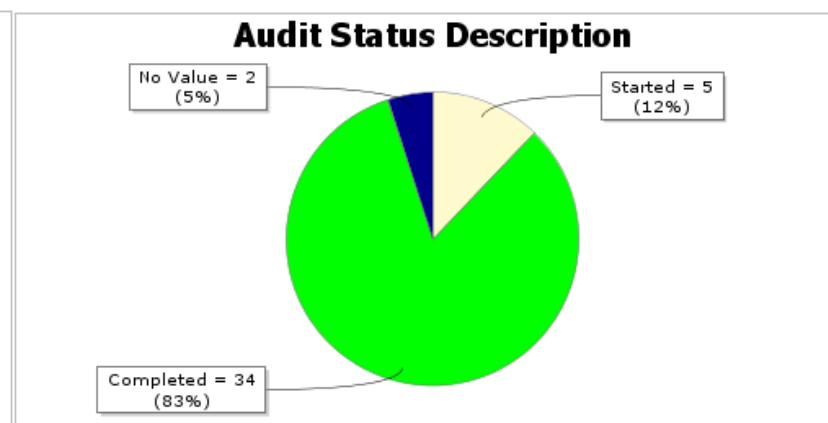
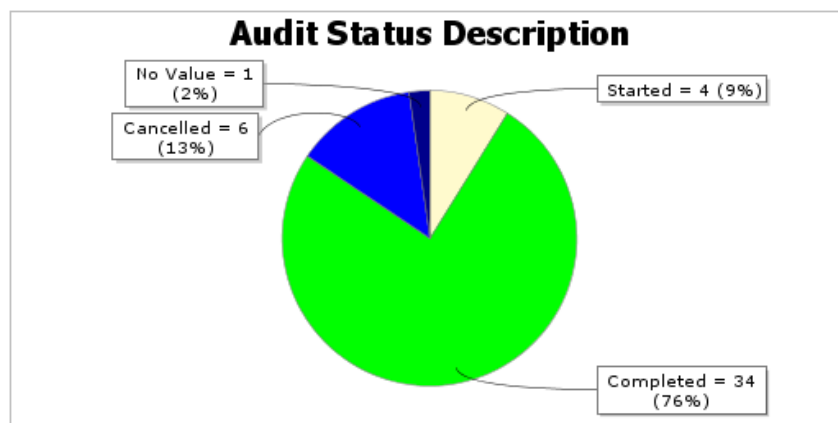


# Internal Audit Performance Report 2017/18

**Report Type:** Audit File Report  
**Report Author:** Angela Struthers  
**Generated on:** 18 May 2018

**Original Plan**

**Revised Plan**



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NB No value = not started

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Housing Services	Housing & Health	✓	Started	Risk based review	
Insurance	Finance	✓	Completed	System based review	✓
Housing Repairs – Final Accounts	Housing & Health	✓	Completed	Main financial system – interim	

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
Main Accounting & Budgetary Control	Finance	✔	Completed	Main financial system – full	✔
Creditors & Procurement – Interim	Finance	✔	Completed	Main financial system – interim	✔
Debtors	Finance	✔	Completed	Main financial system – full	✔
Council Tax	Finance	✔	Completed	Main financial system – interim	✔
NNDR	Finance	✔	Completed	Main financial system – interim	✔
Payroll	Transformation & Corporate Performance	✔	Completed	Main financial system – interim	✔
Bank Reconciliation & Cash Collection	Finance	✔	Completed	Main financial system – interim	✔
Housing & Council Tax Benefits	Finance	✔	Completed	Main financial system – interim	✔
Capital Strategy & Programme Management	Finance	✔	Completed	Main financial system – full	✔
Housing Rents	Housing & Health	✔	Completed	Main financial system – interim	✔
Property Contracts QTR 1	Assets & Environment	✔	Completed	Main financial system – interim	✔
Property Contracts QTR 2	Assets & Environment	✔	Completed	Main financial system – interim	⚠
Property Contracts QTR	Assets & Environment	✔	Completed	Main financial system –	⚠

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
3				interim	
Property Contracts QTR 4	Assets & Environment	✔	Completed	Main financial system – interim	⚠
Housing Repairs – New Contract	Housing & Health	✔	Started	Additional System Based Review	
Scheme of Delegation	Solicitor & Monitoring Officer	✔	Completed	Risk based review	⚠
Democratic Services business continuity arrangements	Solicitor & Monitoring Officer	✔	Completed	System based review	
VAT	Finance	✔	Completed	Risk based review	✔
Time Recording & Absence Management	Transformation & Corporate Performance	✔	Completed	Risk based review	⚠
Community Wardens – Health & safety	Assets & Environment	✔	Completed	Risk based review	✔
Equalities	Transformation & Corporate Performance	✔	Started	System based review	
Safeguarding	Solicitor & Monitoring Officer	✔	Completed	System based review	⚠
Emergency Planning	Corporate	✔	Completed	System based review	⚠
Network Controls	Technology & Corporate Programmes	✔	Completed	Information Technology	✔
EFin Application Review	Technology & Corporate Programmes	✔	Started	Information Technology	
Websites	Technology & Corporate	✔	Completed	Information Technology	✔

Title	Directorate Description	Audit Status Icon	Audit Status Description	Audit Assurance Type Title	Audit Assurance Level Status Icon
	Programmes				
Orchard Application Review	Technology & Corporate Programmes	✔	Completed	Information Technology	✔
Pension Contributions End of year 2016/17	Transformation & Corporate Performance	✔	Completed	Transactional	✔
DFG Testing	Assets & Environment	✔	Completed	Transactional	✔
Municipal Charities	Corporate	✔	Completed	Transactional	
Treasury Management QTR 4 2016/17	Finance	✔	Completed	Main financial system – interim	✔
Treasury Management QTR 1 2017/18	Finance	✔	Completed	Main financial system – interim	✔
Treasury Management QTR 2 2017/18	Finance	✔	Completed	Main financial system – interim	✔
Treasury Management QTR 3 2017/18	Finance	✔	Completed	Main financial system – interim	✔
Pension Contributions Interim Testing	Transformation & Corporate Performance	✔	Completed	Transactional	✔
Street Scene	Assets & Environment	✔	Started	Risk based review	
Commercial & Industrial Properties	Assets & Environment			Consultancy	
GDPR	Technology & Corporate Programmes			Additional System Based Review	